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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John R. Liddicoat et al.

Serial No.:

10/004,474

Filing Date:

10/23/2001

For:

**AUTOMATED ANNULAR PLICATION FOR MITRAL** 

**VALVE REPAIR** 

**Group Art Unit:** 

3731

Examiner:

Julian W. Woo

Attorney's Docket No.:

VIA-12

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313

Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby submit documents and information which may be considered material to the examination of this application. These documents and information are listed on the accompanying forms.

Copies of documents 1-6, which are listed on the accompanying Form PTO/SB/08A (substitute for Form PTO-1449), are enclosed herewith.

Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of the Form PTO/SB/08A (substitute for Form PTO-1449) (one page), submitted herewith, as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission.

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The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:  August 24, 2004  (DATE OF DEPOSIT)  James A. Sheridan  (NAME OF ATTORNEY)  (SIGNATURE)	Respectfully submitted,  James A. Sheridan Reg. No. 43,114  Pandiscio & Pandiscio 470 Totten Pond Road Waltham, MA 02154 Tel. (617) 290-0060
August 24, 2004	` ,
(DATE OF SIGNATURE)	

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PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449A/PTO **Application Number** 10/004,474 INFORMATION DISCLOSURE **Filing Date** 10/23/2001 STATEMENT BY APPLICANT **First Named Inventor** Liddicoat et al. John R. Group Art Unit 3731 (use as many sheets as necessary) **Examiner Name** Julian W. Woo

Attomey Docket Number



		U.S. Patent Document	U.S. PATENT DOCU	Date of Publication of	Pages, Columns, Lines,
Examiner Initials*	Cite No.1	Number Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
	1	4,841,960	Garner	06-27-1989	
	2	5,236,440	Hlavacek	08-17-1993	
	3	5,540,716	Hlavacek	07-30-1996	
	4	5,947,999	Groiso	09-07-1999	
	5	5,993,476	Groiso	11-30-1999	-
	6	2003/0171776	Adams et al.	09-11-2003	
					-
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	FOREIGN PATENT DOCUMENTS							
Examiner Initiats*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant	
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )		Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>8</sup>
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Signature	Considered

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.